

ID REF.	DATE RAISED	RISK DESCRIPTION		RISK SCORE			MITIGATION MEASURES	AFTER RISK MITIGATION			ASSIGNED OFFICER	STATUS	UPDATES & COMMENTS	COMPLETE DATE
				LIKELIHOOD	IMPACT	TOTAL SCORE		LIKELIHOOD	IMPACT	TOTAL SCORE				
1	24.08.20, descry. amended 20.12.22	Staffing risks. Insufficient capacity, skills, knowledge and effective working to complete the plan in timely fashion.		4	5	20	Extra officer support from AECOM and LUC pre-consultation.	1	4	4	DH		26.09.23 - an interim head of service joined the team on 13 March - contract extended to end of Reg 19 publication. - Public Practice relationship, employed new design officer. Ongoing relationship with AECOM and LUC to provide support as required. 2/2/2024 - appointed replacement interim project officer	
2	24.08.20	Evidence base flawed, incomplete or not up to date		2	4	8	Effective project management and governance	1	4	4	AM		26.09.23 A review of the evidence work completed and evidence base has been updated to support the draft plan at consultation. Not all evidence requirements can be anticipated, and this is borne in mind on an ongoing basis (e.g. several strands of additional transport work are needed in connection with the current review/testing) with potential implications for budget and timescale.	
2b	04.03.24	NEW	Reg18 Consultation responses highlight major issues that cannot be resolved within Reg19 timetable	2	5	10	Early review of consultation responses. Contingency built in to run various evidence base updates.	1	5	5	AM		4.3.24 Responses helpful and raise important issues. Nothing insurmountable but response and amendment will make plan more robust.	
3	24.08.20	Failure to address corporate vision and objectives		2	3	6	Effective governance and project management	1	3	3	DH/AM		Note that there can be tensions between corporate vision & objectives and those of national policy & legislation. 2/2/2024 - project plan set up with various Member briefings and meetings.	
4	07.09.20, descr. & mitig. amended 20.12.22	Lack of political consensus, e.g. unable to agree a plan meeting national requirements		4	5	20	LPP set up with regular briefings and engagement with key members. Factoring in responses from Reg18 including responses from Members. Also seeking to influence and raise awareness of parameters among wider membership before Reg19 governance.	3	5	15			22.2.24 Officers hold fortnightly meetings with lead Members and have monthly Local Plan Panel meetings. There was an all Cllr briefing in June 23 and will be another before Reg19 stage. The general consensus of the Council membership however remains unknown. October 23 Council suggested 2/3rds in favour of progressing emerging plan. Reg19 work seeking to address Members concerns raised at Reg18.	
5	19.11.21	Plan is found to be unsound because choice of proposed sites not supported by the evidence base		2	5	10	Robust evidence base driving the selection of proposed sites.	1	5	5	DH/AM		The site selection methodology shared with LPLG in 2023. Member briefing session 12/6/23. Monthly meetings with Counsel. 4.3.24 Reviewing consultation responses on evidence base and sites work.	
6	10.10.22	The proposed changes to the timetable extend the period for which the district is at risk of speculative development.		4	4	16	The pressure of time is in tension with robustness and quality (see evidence etc. risks), requiring astute judgment in balancing these concerns and managing and how tasks are undertaken.	2	4	8	DH		26.9.2023 No changes to the timeline are proposed by officers.	
7	10.10.22	The timetable proposed in the LDS slips. There are always unknown factors/issues arising in the production of a Local Plan that require consideration and may result in slippage. Government intervention would significantly damage the reputation of the Council		4	4	16	The Local Plan preparation's project plan is actively managed, and reported to COB and Scrutiny Cttee.	3	4	12	AM		2/2/2024 - Scrutiny planned for April and June. Project plan in place. 4.3.24 Regular one to ones with officers and monthly in-person team meetings to review progress.	
8	10.10.22, descr. amended 20.12.22	Major changes in national policy or legislation may require (or enable) a radically different plan. The Levelling Up and Regeneration Bill, for example, includes some significant proposed changes.		4	4	16	The Council will monitor upcoming consultation and anticipated changes.	1	4	4	DH		2/2/24 - Current LDS beats the transitional period set by government (submission June 2025). Likelihood of a general election between submission and EIP although unlikely any legislative changes before we reach EIP regardless of outcome of election.	
9	20.12.22	There are insufficient feasible sites to deliver the scale of housing-growth required.		3	5	15	Prioritise re-assessment of strategic growth area proposals to identify which, if any, are realistic 'options'.	2	5	10	DH/AM	Closed	No longer a risk due to lower housing need numbers and volume of sites available.	26.09.23
10	22.02.2023	Political change or number of member changes following District elections in May 2023.		3	4	12	Regular sessions for Leader / Portfolio Holder and LPLG are already scheduled and these will need to be shared with any new members should appointments change post-election. May also need extra sessions to bring any new members up to date.	2	3	6		Closed	26/9/2026 - no major local political changes foreseen within the plan process. Next local election post planned adoption of plan.	26.09.23
11	26.09.23	Insufficient staff resources to process the volume of consultation responses to the draft plan will delay progression of Reg 19		3	5	15	Monitor number of responses received and evaluate existing internal staff capacity to assist with processing reps. Discussing with DLUHC what technologies available to assist with this.	2	5	10	DH/AM	Closed	2/2/24 - Agency support drafted in. 7/3/24 complete.	7.3.24
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